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(7)

## Regularized theta lifts of harmonic Maass forms

- Generalize Rademacher lift
- $Z(k, n) \rightsquigarrow$  "Green function"  $\Phi_{k, n}(z)$
- converse theorem

## Harmonic Maass forms

$\Gamma \leq \Gamma(N)$  finite index,  $\tau = u + iv \in \mathbb{H}$ .  
For simplicity  $k \in \mathbb{Z}$ .

Def: A smooth  $f: \mathbb{H} \rightarrow \mathbb{C}$  is called a harmonic Maass form of weight  $k$  for  $\Gamma$  if:

- $f|_k \gamma = f \quad \forall \gamma \in \Gamma$

- $\Delta_k f = 0$ , where  $\Delta_k = -v \left( \frac{\partial^2}{\partial u^2} + \frac{\partial^2}{\partial v^2} \right) + i k \frac{\partial}{\partial v}$

- $f$  has at most "linear exponential growth" at the cusps of  $\Gamma$ .

[I.e. For  $s \in \mathbb{P}^1(\mathbb{R})$  and  $\delta \in \Gamma(N)$  with  $\delta \infty = s$ , there is a  $C > 0$  s.t.

$$f|_k \delta(\tau) = O(e^{Cv}), \quad v \rightarrow \infty$$

$\mathcal{H}_k(\Gamma) := \mathbb{C}$  vector space of these

Remark:  $\mathcal{S}_k(\Gamma) \subset \mathcal{M}_k(\Gamma) \subset \mathcal{M}'_k(\Gamma) \subset \mathcal{H}_k(\Gamma)$

Ex:  $E_2(\tau) = 1 - \frac{24}{\pi^2} \sum_{n \geq 1} \sigma_1(n) q^n$



- Zagier's weighted  $\frac{1}{2}$  Eisenstein series
- Derivatives of invariant w.r.t 1 Eisenstein series
- Completion of Hecke theta fu's

Facts:

- $f \in \mathcal{H}_k(\Gamma)$  has Fourier exp. (TEP)

$$f(\tau) = \underbrace{\sum_{n \geq 0} a^+(n) q^n}_{f^+} + \sum_{n \leq -1} \underbrace{a^-(n) W_{k,n}(\tau) q^n}_{f^-}$$

$$W_{k,n}(\tau) = \begin{cases} \Gamma(1-k, 4\pi n \tau), & n \neq 0 \\ \tau^{1-k}, & n = 0, k \neq 1 \\ \log(\tau), & n = 0, k = 1 \end{cases}$$

- Have an antilinear map

$$\xi_k: \mathcal{H}_k(\Gamma) \rightarrow \mathcal{H}'_{2-k}(\Gamma)$$

$$f \mapsto \xi_k(f) = \tau^{k-2} \overline{\frac{df(\tau)}{d\tau}} = 2i \tau^k \frac{\partial \overline{f}}{\partial \bar{\tau}}$$

$$\text{ker}(\xi_k) = \mathcal{H}_k(\Gamma)$$

Pf: Ex.

- There ex a  $C > 0$  s.t.

$$a^+(n) = O(e^{C\sqrt{|n|}}), \quad n \rightarrow +\infty$$

$$a^-(n) = \dots, \quad n \rightarrow -\infty$$

(analogous estimates at other cusps)

(Exercise)



Thm: The map  $\{ \cdot \}_{\mathbb{Z}} : \mathcal{Y}_{\mathbb{Z}}(\Gamma) \rightarrow M_{2-\mathbb{Z}}^!(\Gamma)$  (3)  
 is surjective.

Pf:

$X_\Gamma = \Gamma \backslash \mathbb{H}^*$  compact mod curve ass. to  $\Gamma$

$\pi: \mathbb{H} \rightarrow X_\Gamma$  can. map

$\mathcal{Y}_{\mathbb{Z}}$  = sheaf of mod'ls of wt  $\mathbb{Z}$  on  $X_\Gamma$

For  $U \subset X_\Gamma$  open, we have

$$\mathcal{Y}_{\mathbb{Z}}(U) = \left\{ f: \pi^{-1}(U) \rightarrow \mathbb{C}; f|_{\mathbb{Z}} \gamma = f \quad \forall \gamma \in \Gamma \right\}$$

}  $f$  hol at every

For a divisor  $D$  on  $X_\Gamma$ , let

$\mathcal{O}_D$  be the cart sheaf, i.e.

$$\mathcal{O}_D(U) = \{ s \in \mathcal{M}(U); \text{div}(s) \geq -D \}$$

$\mathcal{E}^{p,q}$ : sheaf of smooth diff forms of type  $(p,q)$  on  $X_\Gamma$ .

Fact: Assume  $\Gamma$  acts freely. ( $\Rightarrow \mathcal{Y}_{\mathbb{Z}}$  is  $\mathbb{C}$ -lin. bd.)

Dolbeault resolution:

$$0 \rightarrow 0 \rightarrow \mathcal{E}^{0,0} \xrightarrow{\bar{\partial}}, \mathcal{E}^{0,1} \rightarrow 0$$

Let  $D = \sum_{S \in X_\Gamma \text{ cusp}} (S) \in \text{Div}(X_\Gamma)$ ,  $\omega \in \mathcal{E}_{\mathbb{Z},0}^1$

Tensor with the  $\mathbb{C}$ -free

$\mathcal{O}$ -module  $\mathcal{Y}_{\mathbb{Z}} \otimes \mathcal{O}_{-D}$  ( $\bar{\partial}$  is  $\mathcal{O}$ -linear)

$$0 \rightarrow \mathcal{Y}_{\mathbb{Z}} \otimes \mathcal{O}_{-D} \rightarrow \mathcal{E}^{0,0} \otimes \mathcal{Y}_{\mathbb{Z}} \otimes \mathcal{O}_{-D} \rightarrow \mathcal{E}^{0,1} \otimes \mathcal{Y}_{\mathbb{Z}} \otimes \mathcal{O}_{-D} \rightarrow 0$$

Chomology sequence

Dolbeault res for  $\mathcal{Y}_{\mathbb{Z}}$  hol. cart. sheaf



$$0 \rightarrow \mathcal{Y}_k \otimes \mathcal{O}_{\mathbb{P}^1}(k) \rightarrow \mathcal{E}^{0,0}(X, \mathcal{Y}_k \otimes \mathcal{O}_{\mathbb{P}^1}(k))$$

$$\xrightarrow{\bar{\partial}} \mathcal{E}^{0,1}(X, \mathcal{Y}_k \otimes \mathcal{O}_{\mathbb{P}^1}(k)) \rightarrow H^1(X, \mathcal{Y}_k \otimes \mathcal{O}_{\mathbb{P}^1}(k)) \rightarrow 0$$

(Use that  $\mathcal{E}^{p,q} \otimes \mathcal{Y}_k \otimes \mathcal{O}_{\mathbb{P}^1}(k)$  is fine  $\Rightarrow$  acyclic)

Yoneda duality  $\Rightarrow$

$$H^1(X, \mathcal{Y}_k \otimes \mathcal{O}_{\mathbb{P}^1}(k)) \cong H^0(X, \Sigma \otimes \mathcal{Y}_k^* \otimes \mathcal{O}_{\mathbb{P}^1}^*(k))$$

$$\cong H^0(X, \mathcal{Y}_{2-k} \otimes \mathcal{O}_{-(k+1)\mathbb{P}^1}) = 0$$

$\Rightarrow$  For  $k \gg 0$  get

if  $k \gg 0$

$$(*) \quad 0 \rightarrow \mathcal{Y}_k \otimes \mathcal{O}_{\mathbb{P}^1}(k) \rightarrow \mathcal{E}^{0,0}(X, \mathcal{Y}_k \otimes \mathcal{O}_{\mathbb{P}^1}(k)) \xrightarrow{\bar{\partial}} \mathcal{E}^{0,1}(X, \mathcal{Y}_k \otimes \mathcal{O}_{\mathbb{P}^1}(k)) \rightarrow 0$$

Let  $g \in H_{2-k}^1$ .

Then  $i \circ \nu^{-k} \bar{g} d\bar{z} \in \mathcal{E}^{0,1}(X, \mathcal{Y}_k \otimes \mathcal{O}_{\mathbb{P}^1}(k))$

$(k, k), (0, 2-k), (0, -2)$  with growth at cusps

$(X) \Rightarrow \exists f \in \mathcal{E}^{0,0}(X, \mathcal{Y}_k \otimes \mathcal{O}_{\mathbb{P}^1}(k))$  s.t.

$$\bar{\partial} f = i \circ \nu^{-k} \bar{g} d\bar{z}$$

$$\Rightarrow \int_k f = g$$

$$\Rightarrow \Delta_k f = -\int_{2-k} \int_k f = -\int_{2-k} g = 0$$

$$\Rightarrow \text{harmonic} \Rightarrow f \in \mathcal{Y}_k(\Gamma) \quad \square$$

If  $\Gamma$  does not act freely, pass to normal finite index subgroup which does act freely, take invariants.  $\square$



Def:  $H_k(\Gamma) = \{ f \in \mathcal{H}_k(\Gamma); \{ \xi_k(f) \} \in \mathcal{S}_{2-k}(\Gamma) \}$  (5)

Cor: Have exact sequences

$$0 \rightarrow M_{\frac{k}{2}}'(\Gamma) \rightarrow \mathcal{H}_k(\Gamma) \rightarrow M_{2-k}'(\Gamma) \rightarrow 0$$

$$0 \rightarrow M_{\frac{k}{2}}'(\Gamma) \rightarrow H_k(\Gamma) \rightarrow \mathcal{S}_{2-k}(\Gamma) \rightarrow 0$$

For simplicity from now on  $\Gamma = \Gamma(N)$ ,  $k \leq 2$ .

$f \in H_k := H_k(\Gamma(N))$  is uniquely determined by its principal part

$$P_f = \sum_{n \geq 0} a_n^+(f) q^n$$

Define a bilinear pairing

$$M_{2-k} \times H_k \rightarrow \mathbb{C}$$

$$\{g, f\} := (g, \xi_k(f))_{\text{Pet}} = \int_{\Gamma \backslash \mathbb{H}} g(\tau) \overline{\xi_k(f)} v^{2-k} d\mu(\tau)$$

Prop:  $\forall f = \sum_{n \geq 0} b_n(f) q^n$ ,

$$f = \sum_{n \geq n^+} a_n^+(f) q^n + \sum_{n \leq 0} a_n^-(f) \Gamma(1-k, -4\pi n\tau) q^n$$

then  $\{g, f\} = \sum_{n \geq 0} b_n(f) a_n^+(-n)$ .

Def:  $\eta = g(\tau) f(\tau) d\tau \in E^{4,0}(X_\Gamma)$

$$d\eta = -g(\tau) \overline{\xi_k(f)} v^{2-k} d\mu(\tau)$$

$$\Rightarrow \{g, f\} = \int_{\mathcal{F}} d\eta$$

Use Stokes's theorem for the def. int.  $\square$



Thm: The induced pairing

$$S_{2-k} \times H_k / \mathcal{M}_k \rightarrow \mathbb{C}$$

is non-degenerate.

Pf: Follows from the surjectivity of  $\delta_k: H_k \rightarrow S_{2-k}$ .  $\square$

Prop: i) For every Fourier pol  $Q$

$$Q = \sum_{n \leq 0} a_n q^n \text{ there is a HMF}$$

$$f \in H_k \text{ s.t. } P_f = Q + \text{const.}$$

ii)  $f$  is weakly hol iff  $\langle f, g \rangle = 0 \quad \forall g \in S_{2-k}$ .

Pf: Above thm + some duality.  $\square$

A criterion for modularity:

Extend the pairing  $M_{2-k} \times M_k^! \rightarrow \mathbb{C}$ ,  
to  $\mathbb{C}[[q]] \times M_k^! \rightarrow \mathbb{C}$ ,  $\left\{ \sum b_n q^n, \sum a_n q^n \right\}$   
 $= \sum_{n \geq 0} b_n a_{-n}$

Prop:

A formal power series  $f = \sum_{n \geq 0} b_n q^n \in \mathbb{C}[[q]]$   
is the  $q$ -exp of a mf in  $M_{2-k}$   
iff  $\langle f, g \rangle = 0 \quad \forall g \in \mathcal{M}_k$ .

Pf: Either use the prop. before or some duality again.  $\square$



## Automorphic Green functions

Consider the theta lift of  $f \in H_k$ .

For simplicity: begin with unimodular lattices.

$(V, Q)$  quad. space  $(\rho, \text{sig } (n, 2))$   
 $L \subset V$  even unimodular lattice  $(\Rightarrow n \equiv 2 \pmod{8})$   
 $O(V)$

$\mathbb{Z}_2 \cong \mathbb{Q}_2$   $D = \{z \in V(\mathbb{R}); \text{dim } z = 2, Q|_z < 0, \text{orient}\}$   
 $\cong \mathbb{H}^+ \cong \mathbb{H}^-$

$\Gamma \subset O(L)^+$  fin index

$$X_\Gamma = \Gamma \backslash D^+$$

For  $m \in \mathbb{Z}_{>0}$  have Hecke div

$$Z(m) = \sum_{\substack{\lambda \in L \\ Q(\lambda) = m}} 1^+$$

Have Siegel theta function

$$\Theta_L(\sigma, z) = \sum_{\lambda \in L} e(Q(\lambda_2)\sigma + Q(\lambda_1)z)$$

$$\Theta_L(\gamma\sigma, z) = (c\sigma + d)^{w/2-1} \Theta_L(\sigma, z) \quad \forall \gamma \in \text{Stab}_z(\sigma)$$

Def:  $\forall f \in H_{k, w/2}$ , we def its theta lift by

$$\phi(z, f) = \int_{\mathbb{H}^+} f(\sigma) \Theta_L(\sigma, z) d\mu(\sigma),$$

that is, as the constant term



in the Laurent exp at  $s=0$  of the cont. of

$$\phi(z, f, s) = \lim_{T \rightarrow \infty} \int_{\Gamma_T} f(\tau) \Theta_L(\tau, z) \tau^{-s} d\mu(\tau).$$

- If  $\operatorname{Re}(s) > 0$ , the limit exists and defines a smooth fu in  $z$  on all of  $D$ .
- It has a merom. cont in  $s$  to  $\mathbb{C}$ .
- The fu  $\phi(z, f)$  is def on all of  $D$  (!), but only smooth on the complement of the disc

$$Z(f) = \sum_{n \geq 0} c^+(n) Z(n),$$

where  $c^+(n)$  denote the coeff's of  $f^+$ .

Recall: Incomplete  $\Gamma$ -fu

$$\Gamma(0, t) = \int_t^{\infty} e^{-v} \frac{dv}{v} \quad (t > 0).$$

Extend it to  $\mathbb{R}_{\geq 0}$  by putting

$$\begin{aligned} \tilde{\Gamma}(0, t) &= \mathcal{CT}_{s=0} [\Gamma(-s, t)] = \mathcal{CT}_{s=0} \left[ \int_0^{\infty} e^{-v} v^{-s} \frac{dv}{v} \right] \\ &= \begin{cases} \Gamma(0, t), & t > 0 \\ 0, & t = 0 \end{cases} \end{aligned}$$

Note  $\Gamma(0, t) = -\log(t) + \Gamma'(1) + O(t^{1-\epsilon})$ ,  $t \rightarrow 0$



Theorem (Rochberg, 17.)

For any  $z_0 \in D$  there is a neighborhood  $U \subset D$  s.t.

$$\phi(z, f) = \sum_{\lambda \in L \cap z_0^L} c^+(-Q(\lambda)) \tilde{F}(0, 4\pi Q(\lambda_2))$$

$\rightarrow$  pos def lattice rank  $\leq n$

is smooth on  $U$ .

In particular,  $\phi(z, f)$  has a logarithmic singularity along  $-4Z(f)$ .

Thm (17.)

The diff form

$$\eta(z, f) := \partial \bar{\partial} \phi(z, f)$$

extends to a smooth  $(1,1)$  form on  $X_r$ .

For any  $(n-1, n-1)$  form  $\beta$  on  $X_r$  with comp support we have

$$\int_{X_r} \phi(z, f) \wedge (\partial \bar{\partial} \beta) + \int_{Z(f)} \beta = \int_{X_r} \eta(z, f) \wedge \beta$$

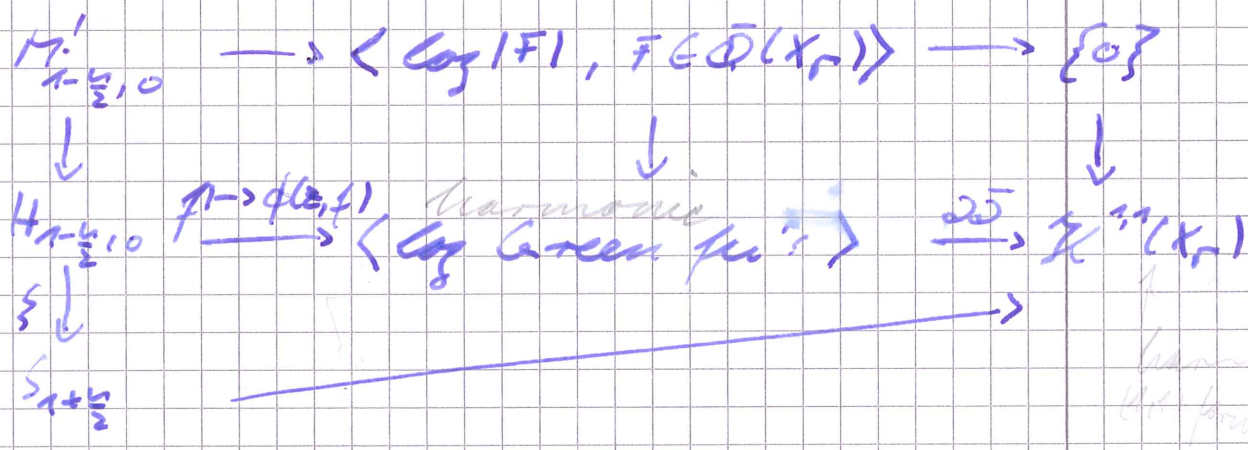
- So  $\phi(z, f)$  is a logarithmic Green fun for  $Z(f)$   $\rightarrow$  Arakelov Green.
- $\eta(z, f)$  represents Chern class of  $Z(f)$  in  $H^2(X_r, \mathbb{C})$
- Can also show that  $\eta(z, f)$  is harmonic and square integrable.

Interesting question:  $\phi(z, f)$  at the boundary?  $\rightarrow$  [BPSK], [RHY].



Picture :

Get commutative diagram :



Goal: Obtain maps

$$\Lambda: S_{1+\frac{1}{2}} \longrightarrow \mathbb{K}^{1,1}(X_r)$$

$$g \mapsto f \in H_{1-\frac{1}{2}, 0} \text{ s.t. } \xi(f) = g \mapsto 2\bar{\sigma}(\phi(z, f))$$

ex

$k/\mathbb{Q}$  real quadratic

$$L = \left\{ X = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in M_2(\mathbb{Q}_k); X^t = X^0 \right\}$$

$$= \mathbb{Q}_k \oplus \mathbb{Z}^2 \quad (\text{not unimodular})$$

$$Q = \det$$

$S_{\mathbb{Z}}(2, 2)$ .

$X_r$  Hilbert modular surface,  $Z(\text{un}) =$

$\Lambda$  can be identified with  $H^2$  div.

Abu Doi-Naganuma lifting

$$S_{\mathbb{Z}}(\Gamma_0(1), X_0) \longrightarrow S_{\mathbb{Z}}(SL_2(\mathbb{Q}_k))$$

Thm (B): Let  $F$  a meromorph for  $\Gamma$  of weight  $-$ . Assume

$$\text{div}(F) = \sum_{m \geq 0} a(m) Z(m)$$

Then  $\log \|F\| = \log |F(z)|_{\mathbb{Q}(k)}^{1/2} = \frac{1}{4} \phi(z, f) + \text{const}$



where  $f \in H_{1-\frac{1}{2}}$  is the unique HMF with  $\frac{1}{F} = \sum_{m=0}^{\infty} a(m)q^{-m}$ . (weaker convergence than!)

$P_f: \omega \log \tau = 0$ .

$\log \|F\| + \frac{1}{4} \phi(z, f)$  is smooth on  $X_T$

show it is harmonic and in  $L^{1+\epsilon}(X_T)$

$\Rightarrow$  it is constant.  $\square$

Q:  $\frac{1}{F}$  actually in  $M_{1-\frac{1}{2}}^!$ ?

### \* The Gross-Koblitz-Zagier Theorem

Hirzebruch-Zagier: intersection #'s of  $H^2$ -divisors on K3 surfaces are coefficients of wgt 2 elliptic mf's

GKZ: Positions of Hecke div's on  $X_g(N)$  in  $\mathcal{H}_g(N)$  are coefficients of wgt  $\frac{3}{2}$  mf's

Kudla-Millson:  $\mathcal{O}(n, 2)$  in cohomology

Borchers:  $\mathcal{O}(n, 2)$  in  $CH^1$  (or Pic)

Theorem (HZ, GKZ, KM, Borchers)

The generating series

$$A(\tau) = c(N, \frac{1}{2}) + \sum_{m=0}^{\infty} 2(m)q^m \in \mathbb{C}[[q]] \otimes_{\mathbb{Z}} CH^1(X_T)$$



is a modular form in  $M_{1+\frac{n}{2}}$ .  
 I.e. for every  $\lambda \in CH^1(X_p)^\vee$ , we have

$$\lambda(A)(\tau) = \lambda(c(\mathcal{M}_{-1/2})) + \sum_{m \geq 0} \lambda(Z(m)) q^m \in M_{1+\frac{n}{2}}.$$

Pf: To show:

$$\{A, f\} = 0 \in CH^1(X_p)_\mathbb{C} \quad \forall f \in M_{1+\frac{n}{2}}'$$

Yuppies:  $\forall f \in M_{1+\frac{n}{2}}'(z)$

For such an  $f = \sum c(m) q^m$ , we have to show

$$(X) \quad c(0) c(\mathcal{M}_{-1/2}) + \sum_{m \geq 0} c(-m) Z(m) = 0 \in CH^1(X_p)_\mathbb{C}$$

$\Psi(f, z)$  is rational section of  $\mathcal{M}_{c(0)/2}$  with  $\text{div } \Psi(f, z) = \sum_{m \geq 0} c(-m) Z(m)$

$$\forall \circ \quad Z(f) = c(\mathcal{M}_{c(0)/2}) = -c(0) c(\mathcal{M}_{-1/2}) = Z(f)$$

$$\Rightarrow (X) \quad \square \in CH^1(X_p)_\mathbb{C}$$

Ex for  $\lambda$ :  $\lambda(z) = \text{vol}(z) = \int_z \Omega^{n-1}$

volume of a divisor.

$$\text{vol}(A)(\tau) = \text{vol}(c(\mathcal{M}_{-1/2})) + \sum_{m \geq 0} \text{vol}(Z(m)) q^m$$

$$\stackrel{\text{Vol}, \text{BK}}{=} -\frac{1}{2} \text{vol } X_p \cdot E_{1+\frac{n}{2}} \in M_{1+\frac{n}{2}}$$



The converse theorem

Q: Is every meromorph for  $O(\mu, 2)$  with divisor on Hecke divisors the Korbhardt left of a weakly hol. mod. of wpt  $1 - \frac{1}{N}$ ?

Telling (vector valued)

$(V, Q)$  quad space,  $\mu \in (\mu, 2)$   
LCV even lattice

$A = L'/L$

$\Gamma \subset O(L)$  s.t.  $A$  is fixed

$X_\Gamma = \Gamma \backslash D^*$

Hecke divisors

$L \in A, m \in \mathbb{Q}_{>0}$

$Z(m, L) = \sum_{L \in L + L} 1^{\perp}$   
 $Q(A) = m$

Weil rep.  $S = \sum_A : \mathbb{P}_2(\mathbb{Z}) \rightarrow GL(O[A])$

Then (Korbhardt)

subrep of  
Weil rep of  
 $S(V(A))$

Let  $f = \sum_{L \in A} \sum_m c(m, L) q^{mL}$   
 $\in \mathbb{P}_{\text{int}, \mathbb{Z}}$  and assume  $c(m, L) \in \mathbb{Z}$

$\forall m < 0$ . There ex a meromorph  $\mathcal{Y}(\mathbb{Z}, f)$  for  $\Gamma$  s.t.

i)  $wpt(\mathcal{Y}) = c(0, 0)/2$

ii)  $div(\mathcal{Y}) = Z(f) = \frac{1}{2} \sum_{L \in A} \sum_{m > 0} \frac{c(m, L)}{Z(m, L)}$

iii)  $\Gamma$  - exp.



Q: Let  $F$  be a meromorphic map for  $\Gamma$   
 with  $\text{div}(F) = \frac{1}{2} \sum_{L \in \mathcal{L}} \sum_{n \geq 0} a_{n,L} \cdot 2n \cdot L$ .  
 Is there an  $f \in H_{\Gamma, \frac{u-1}{2}, 0}^1$  s.t.  $\psi(f) = F$ ?

Known:

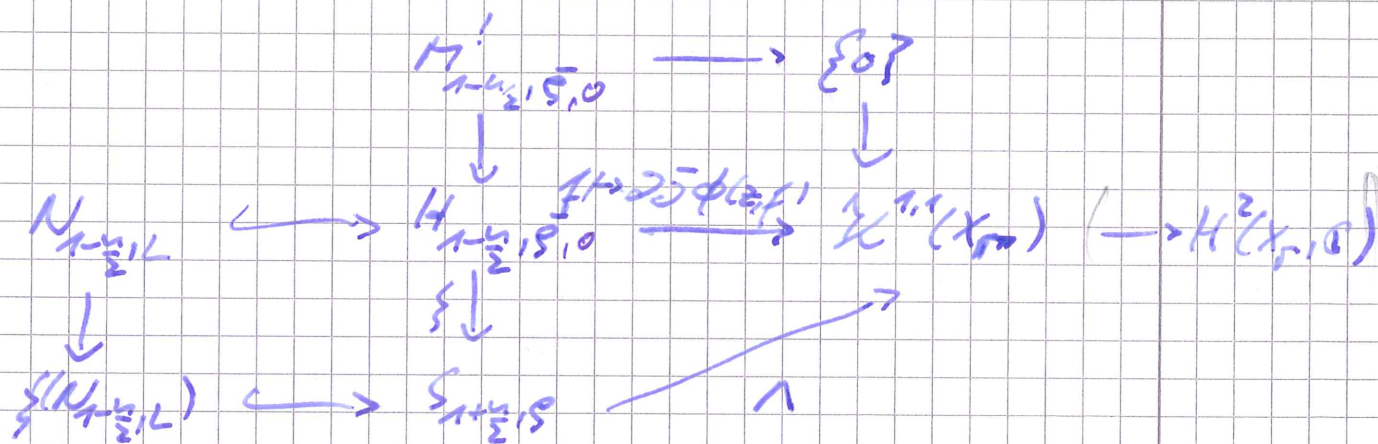
- No in general for  $u=1$ 
  - Serre formula:  $L(L, 1) = 0 \Rightarrow$  vanishing of Hecke  $L$ -series
  - Rank theta fun's

Th. (2000): Yes, if  $L \cong D \oplus U \oplus U$   
 $U = \Pi_{-1,1} = (\mathbb{Z}^2, x_1, x_2)$

Thm 1: "Yes", if  $L \cong V \oplus U$ , where  
 $V$  is isotropic of sig  $(u-1, 1)$ ,  $u \geq 3$ .

- Explain criterion, idea of proof
- newform theory.

Recall the picture:



$$N_{\Gamma, \frac{u-1}{2}, L} = \left\{ f \in H_{\Gamma, \frac{u-1}{2}, 0}^1 \mid \psi(f) = 0 \in \text{Div}(X_{\Gamma, 0}) \right\}$$



- If  $f \in N_{n-\frac{v}{2}, L}$ , then
  - $\phi(z, f) = \text{const} \Rightarrow \partial \bar{\partial} \phi(z, f) = 0$
  - $\Rightarrow \Lambda(\xi(f), z) = 0$
  - $\Rightarrow \xi(N_{n-\frac{v}{2}, L}) \subset \ker(\Lambda)$

Def  $S_{n-\frac{v}{2}, L}^+ := \xi(N_{n-\frac{v}{2}, L})^\perp \subset S_{n-\frac{v}{2}, L}$   
 $\Lambda^+ =$  restriction of  $\Lambda$  to  $S_{n-\frac{v}{2}, L}^+$

Theorem: Suppose  $n \geq 2$  and  $n >$  Witt rank  $(L)$ . TFAE:

- i)  $\Lambda^+ : S_{n-\frac{v}{2}, L}^+ \rightarrow \mathcal{H}^{n,1}(X, \Gamma)$  injective
- ii) Every meromorphic  $n$ -form  $F$  for  $\Gamma$  with  $\text{div}(F)$  as in  $\mathcal{Q}$  is the restriction of an  $f \in M_{n-\frac{v}{2}, \bar{S}}$

Pf  $i \Rightarrow ii$ : (ii  $\Rightarrow$  i Exercise)  
 Assume  $\Lambda^+$  is inj.

Let  $F$  be a meromorphic  $n$ -form for  $\Gamma$  with  $\text{div}$  as in  $\mathcal{Q}$ .

Wlog  $\text{wt}(F) = 0$ .

"Weak converse theorem"  $\Rightarrow \exists f \in M_{n-\frac{v}{2}, \bar{S}, 0}$  s.t.  $\phi(z, f) = \log|F| + \text{const}$ .

$\Rightarrow \Lambda(\xi(f)) = \partial \bar{\partial} \phi(z, f) = \partial \bar{\partial} \log|F| = 0$

$\stackrel{i)}{\Rightarrow} \xi(f) \in \xi(N_{n-\frac{v}{2}, L})$

$\Rightarrow \exists f' \in N_{n-\frac{v}{2}, L}$  s.t.  $\xi(f) = \xi(f')$ .

$\Rightarrow f - f' \in M_{n-\frac{v}{2}, \bar{S}}$  with  $\xi(f - f') = \xi(f)$   
 $\Rightarrow f \in M_{n-\frac{v}{2}, \bar{S}}$



## The Fourier expansion of $\Lambda$

Thm: Assume  $L \cong K \oplus U$ . Let

$$g = \sum_{\lambda \in K} \sum_m b(\lambda, L) g^m e_\lambda \in S_{\lambda + \frac{1}{2}, S}. \text{ For}$$

$\lambda \in K'$  the  $\lambda$ -th coeff of  $\Lambda(g)$  is

$$\sum_{\lambda \in K'} d^{\lambda-1} b(Q(\lambda)/4, \lambda).$$

Cor: If  $g \in \text{Ker}(\Lambda)$ , then  $b(Q(\lambda)/4, \lambda) = 0$   
 $\forall \lambda \in K'$ .

$\Rightarrow$  many coeff's of  $g$  vanish

• To show: All coeff's vanish!

(Note: Assumption on  $L$

$$\Rightarrow S_{\lambda + \frac{1}{2}, S} = S_{\lambda + \frac{1}{2}, L}$$

• OK if  $K \cong D \oplus U \Rightarrow$  old thm.

• Not clear otherwise. E.g.  $K = K_0(N)$   
 $\Rightarrow Q(K) \in \mathbb{N}$ .

## Newform theory for $M_{k, S}$

Show that  $g = 0$  if sufficiently many coeff's vanish.

Let  $H \subset A = L'/L$  be isotropic subgroup.

$B = H^\perp/H$  is disc form with  $\bar{Q}$ .

$$|B| = |A|/|H|^2.$$

Prop: There are maps

$$M_{k, S, B} \longrightarrow M_{k, S, A} \quad g \mapsto g \uparrow_H^A = \sum_{\mu \in H^\perp} g_\mu e_\mu,$$

[  $g \uparrow_H^A$  is supported on  $H^\perp$  ]



1)  $M_{k, S_A} \rightarrow M_{k, S_B}$  ,  $f \mapsto f \downarrow_H^A = \sum_{\mu \in H^\perp} f_\mu \epsilon_{\mu+H}$

Pf: Exercise.

Basic newform lemma:

Prop: Let  $f \in M_{k, A}$  and assume  $f$  is supported on  $H^\perp$ . (i.e.  $f_\mu = 0$  if  $\mu \notin H^\perp$ )  
 Then  $f_{\mu+\mu'} = f_\mu \quad \forall \mu \in A, \mu' \in H$   
 and  $f = \frac{1}{|H|} f \downarrow_H^A \uparrow_H^A$

Generalisation:

[ $f \in M_{k, S_A}(w)$ ,  
 $a_f(w) = 0$  unless  $\mu \in H^\perp$   
 $\Rightarrow p \mid w$  and  $f \in \mathcal{O}_p$ ]

Thm: Let  $H_1, \dots, H_m \subseteq A$  be isotropic subgroups of prime order  $p_i = |H_i|$  with  $p_i \neq p_j$  for  $i \neq j$ .

If  $f \in M_{k, S_A}$  is supported on  $H_1^\perp \cup \dots \cup H_m^\perp$ , then

$$f = \sum_{\phi \in S \subseteq \{1, \dots, m\}} (-1)^{|S|+1} \frac{1}{|H_S|} f \downarrow_{H_S}^A \uparrow_{H_S}^A$$

where  $H_S = \sum_{i \in S} H_i$  (isotropic subgroup).

Combine this with the result on the FE of  $\Lambda$  and the criteria to get

Thm: Assume that  $L \cong D \oplus U \oplus U$  for some  $N \in \mathbb{Z}_{>0}$  and some pos def lattice  $D$  of dim  $n-2 > 0$ .



Then  $\Lambda: \sum_{i=1}^n \alpha_i \delta_i \rightarrow \mathcal{K}^{n \times 1}(K_F)$  is inj  
and the converse then holds.

Cor: Assume  $L \stackrel{\cong}{=} K \oplus U$  for some  
finite lattice  $U$  of sig  $(n-1, 1)$  where  $n \geq 3$ .  
Let  $M \subseteq U$  be a sublattice of finite  
index that splits a  $U(N)$  over  $\mathbb{Z}$   
and put  $\Gamma = M'/M$ . Then every  
mirror sup  $F$  for  $\Gamma = \Gamma'(U)$  with  
divisor as in  $\mathcal{Q}$  is the hard-ends  
lift of some  $f \in M'_{\sum_{i=1}^n \alpha_i \delta_i}$ .

Rem: There always exists such  
an  $M$  (with  $\text{level}(M) = \text{level}(U)$ ).

Pf of Thm: Let  $g = \sum_{\mu \in A} g_{\mu} e_{\mu} = \sum_{\mu, \nu} U_{\mu, \nu} e_{\mu} e_{\nu}$

We only prove the case  $N = p = \text{prime}$ ,  
i.e.  $L \stackrel{\cong}{=} D \oplus U(p) \oplus U$ .

Identify  $L$  with the r.l.s., put

$$U = D \oplus U(p) \quad A = L'/L = U'/U.$$

$$\text{Let } e = \frac{1}{p}(0, 1) \in U(p)' \subset U', \quad e' = \frac{1}{p}(1, 0) \in U(p)' \subset U'$$

$$\text{Let } \mu \in A \text{ with } (\mu, e) = \frac{1}{p} + \mathbb{Z}.$$

$$\Rightarrow \exists \lambda \in \mu + U \text{ s.t. } (\lambda, e) = \frac{1}{p}.$$

$$\Rightarrow \text{For } a \in \mathbb{Z} \text{ we have}$$

$$\lambda + a p e \in \mu, \quad \mathcal{Q}(\lambda + a p e) = \mathcal{Q}(\mu) + a.$$

$$\text{Thm} \Rightarrow \quad \mathcal{L}(\mathcal{Q}(\mu) + a, \mu) = 0 \quad \forall a$$

$$\Rightarrow \quad g_{\mu} = 0.$$



Use action of  $(\mathbb{Z}/2)^+ \subset O(L)^+$  and equivariance of the lift  $\lambda$  to get

$$g_\mu = 0 \quad \forall \mu \in A \text{ with } (\mu, e) = \frac{\tau}{2} + \mathbb{Z}, \tau \in (\mathbb{Z}/2)^+.$$

$\Rightarrow g$  is supported on  $I^\perp \subset A$ , where  $I = \langle e \rangle \subset A$  is ideal.

$$\Rightarrow g = \tilde{g} \begin{matrix} \sim \tau A \\ \int I \end{matrix} \text{ for } \tilde{g} \in S_{\substack{1+\frac{1}{2}S_B \\ = \mathbb{Z}}} \text{, } B = \frac{I^\perp}{I}.$$

in particular

$$(*) \quad g_{\mu+\mu'} = g_\mu \quad \forall \mu, \mu' \in I^\perp. \quad \text{Let } L = \mathbb{Z}e \oplus \mathbb{Z}e' \oplus U \text{ then } \lambda_2(g) = \lambda_2(e) \Rightarrow \tilde{g} = 0 \Rightarrow g = 0$$

For  $\lambda = \lambda_5 + a e' + e \in K'$  ( $\lambda_5 \in \mathbb{Z}, a \in \mathbb{Z}$ ) we have

$$\bar{\lambda} = \bar{\lambda}_5 + \bar{e} \in I^\perp \subset A$$

$$\text{and } Q(\lambda) = Q(\lambda_5) + a$$

$$\Rightarrow L(Q(\lambda_5) + a, \bar{\lambda}_5 + \bar{e}) = 0 \quad \forall a$$

$$\Rightarrow g_{\bar{\lambda}_5 + \bar{e}} = 0$$

$$\Rightarrow g_\mu = 0 \quad \forall \mu \in I^\perp$$

$$\Rightarrow g = 0 \quad \square$$